



Small Utility Filing Procedure

Streamlining the process for qualifying utilities

The Small Utility Filing Procedure is designed to reduce the expense and time involved with regulatory filings, and can be used in rate cases before the Indiana Utility Regulatory Commission (IURC). The procedure is available to the following types of utilities, provided the utility serves fewer than 5,000 customers:

- A municipal utility
- A not-for-profit water utility
- A private rural water and sewer utility
- A private rural water utility
- An investor-owned water utility
- An investor-owned gas utility
- A distribution cooperative



The Small Utility Filing Procedure differs from traditional rate case filings because the utility's staff uses standardized forms. The utility is able to save money because of the simplified approach the forms allow. Decisions to rely on this procedure should be based on the case's complexity, the existence (or absence) of unusual issues, and the knowledge of utility personnel completing the forms. The Small Utility Filing Procedure may allow these cases to proceed within a shorter time frame than traditional rate cases. In addition, formal hearings requiring the presence of attorneys and expert witnesses may not be required.

Small utility procedure filings face the same standards of Indiana Office of Utility Consumer Counselor (OUCC) and IURC review as traditional utility filings. The OUCC conducts its review on behalf of consumers, while the IURC's review is conducted on behalf of the public interest (a balancing of utility and customer interests).

More detailed information about the Small Utility Filing Procedure can be found in the Indiana Administrative Code (170 IAC 14). Additional questions about the Small Utility Filing Procedure can be directed to the OUCC's consumer services staff toll-free at 1-888-441-2494 or by contacting us online.



Indiana Office of Utility Consumer Counselor

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The Indiana Office of Utility Consumer Counselor (OUCC) is the state agency that represents utility consumer interests before regulatory and legal bodies. To learn more, visit www.IN.gov/OUCC.